

Docket No. 317743-103C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Ognyanov, et al.,

Serial No.: 09/757,011

Filed: January 9, 2001

For: Pharmaceutical for Treatment of  
Neurological and Neuropsychiatric  
Disorders

Group Art Unit: 1614

Examiner: Unknown

SEP 5 2001  
TC 2800 MAIL ROOM

PATENT

TECH CENTER 1600/2900

SEP 07 2001

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Commissioner for Patents

Washington, D.C. 20231

Attn.: Office of Initial Patent Examination  
Customer Service Center

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a marked-up copy of the official filing receipt received from the P.T.O. in the above application for which issuance of a corrected filing receipt is respectfully requested.

The reference to U.S. Patent No. 5,738,219, issuing from U.S. Application Serial No. 08/807,682, filed February 28, 1997, as a related prior application on the Filing Receipt issued by the Patent Office is incorrect.

The priority history claimed for this application is in the attached chart. All of the four initial filings were converted to provisional applications. For the priority application noted with an asterisk (\*), the "106A application," an initial error by the US Patent and Trademark Office has unfortunately, been perpetuated by reason of its incorporation into the text of the present specification.

The U.S. Patent Office incorrectly labeled Serial No. 08/807,682 on the return postcard for the 106A application. Consequently, applicants erroneously referenced U.S. Serial No. 08/807,682 as one of the four related prior applications in the current specification drafted for the direct parent of the current application. Once it became apparent to applicants the correct Serial No. assigned to the application was 08/808,755, applicants filed a Petition requesting 08/807,682 be changed to 08/808,755 on the PCT Request Form. In the Decision on Petition, copy enclosed, the U.S. Patent Office acknowledged their error in indicating the Serial No. as 08/807,682 rather than 08/808,755 on the return postcard.

Accordingly, applicants request a corrected filing receipt deleting reference to U.S. Application Serial No. 08/807,682, filed February 28, 1997, now Patent No. 5,738,219, and replacing with related U.S. Application Serial No. 60/070,900, filed February 27, 1997.

Docket No. 317743-103C  
Serial No. 09/757,011

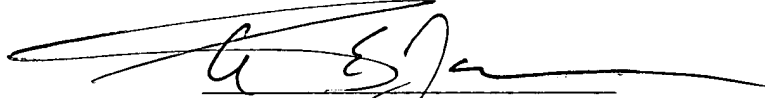
Since all of the original four (4) priority filings were converted to provisionals, no mention need be made to there original serial numbers under the 08 series. Thus, the continuing data should read:

THIS APPLICATION IS A DIV OF 08/866,007 05/30/97 PAT 6,191,165  
WHICH CLAIMS THE BENEFIT OF 60/070,900  
AND CLAIMS THE BENEFIT OF 60/044,387  
AND CLAIMS THE BENEFIT OF 60/041,503  
AND CLAIMS THE BENEFIT OF 60/041,504

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Respectfully submitted,

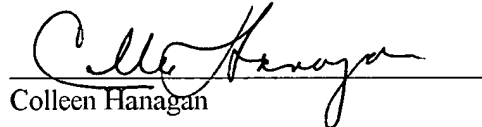


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(609) 620-3254

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, Attn.: Office of Initial Patent Examination, Customer Service Center.

  
Colleen Hanagan

June 1, 2001

M.R.D. 12/5/97

03-06-1998



100654220

12/05/97

Form PTO-1595

U.S. Pat. & Tm. Off.

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Assistant Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Vassil Iliya Ognyanov;  
Laurence A. Borden;  
Stanley Charles Bell; and  
Jing Zhang

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and Address of receiving party(ies)

Name: Allelix Neuroscience Inc  
Internal Address:

Street Address: 40 Cragwood Road  
City: South Plainfield  
State: New Jersey Zip: 07080  
Additional name(s) & addresses attached?  
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Other:

☐ Security Agreement

Execution Date:

☐ Change of Name

☐ Merger

4. Application number(s) or patent number(s): 08/866,007

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom  
correspondence concerning documents should be  
mailed:

Name: Allen Bloom, Esq.  
Dechert Price & Rhoads  
Street Address: Princeton Pike Corporate Center  
P.O. Box 5218  
City: Princeton State: NJ Zip: 08543-5218

6. Total number of applications and patents  
involved:

7. Total fee (37 CFR § 3.41..... \$40.00)

☒ Enclosed Check No. 008319  
☐ Authorized to be charged to deposit  
account

8. Deposit account number: 04-0480

DO NOT USE THIS SPACE

01/09/1998 SBLIZZAR 00000004-08866007  
40.00 OP  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

Date: December 5, 1997

Allen Bloom, Registration No. 29,135

Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 02, 1998

PTAS



\*100654220A\*

DECHERT PRICE & RHOADS  
ALLEN BLOOM, ESQ.  
PRINCETON PIKE CORPORATE CENTER  
P.O. BOX 5218  
PRINCETON, NJ 08543-5218

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/05/1997

REEL/FRAME: 9010/0916  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

OGNYANOV, VASSIL ILIYA

DOC DATE: 11/26/1997

ASSIGNOR:

BORDEN, LAURENCE A.

DOC DATE: 11/27/1997

ASSIGNOR:

BELL, STANLEY CHARLES

DOC DATE: 11/26/1997

ASSIGNOR:

ZHANG, JING

DOC DATE: 11/26/1997

ASSIGNEE:

ALLELIX NEUROSCIENCE INC.  
40 CRAGWOOD ROAD  
SOUTH PLAINFIELD, NEW JERSEY 07080

SERIAL NUMBER: 08866007  
PATENT NUMBER:

FILING DATE: 05/30/1997  
ISSUE DATE:

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**MAY - 7 1998**

**AB**

9010/0916 PAGE 2

MAYA BENNETT, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

0975046100



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/757,011	01/09/2001	1614	944	317743-103C	4	33	2

CONFIRMATION NO. 7091

25561  
ALLEN BLOOM  
C/O DECHERT  
PRINCETON PIKE CORPORATION CENTER  
P.O. BOX 5218  
PRINCETON, NJ 08543-5218

FILING RECEIPT



\*OC000000005913347\*

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MAY 2 2 2001

C.H.

Date Mailed: 03/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vassil Iliya Ognyanov, Franklin Park, NJ;  
Laurence A. Borden, Hackensack, NJ;  
Stanley Charles Bell, Narberth, PA;  
Jing Zhang, Parsippany, NJ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 08/866,007 05/30/1997 PAT 6,191,165  
WHICH IS A CIP OF 08/888,754 02/27/1997 ABN  
WHICH IS A CIP OF 08/656,063 05/31/1996 ABN  
AND A CIP OF 08/655,912 05/31/1996 ABN  
AND A CIP OF 08/807,682 02/28/1997 PAT 5,738,219.\*  
AND CLAIMS BENEFIT OF 60/041,503 05/31/1996  
AND CLAIMS BENEFIT OF 60/044,387 02/27/1997  
AND CLAIMS BENEFIT OF 60/041,504 05/31/1996  
(\* Data inconsistent with PTO records.)

Foreign Applications

WHICH CLAIMS BENEFIT OF 60/070,900 02/27/1997

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SEP - 6 2001  
TC 2800 MAIL ROOM

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APR - 2 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 7891

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 SEP 10 2001  
 1600/2980

<b>SERIAL NUMBER</b> 09/757,011	<b>FILING DATE</b> 01/09/2001 <b>RULE</b>	<b>CLASS</b> XXX	<b>GROUP ART UNIT</b> 2899 1614	<b>ATTORNEY DOCKET NO.</b> 317743-103C
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## APPLICANTS

Vassil Iliya Ognyanov, Franklin Park, NJ;  
 Laurence A. Borden, Hackensack, NJ;  
 Stanley Charles Bell, Narberth, PA;  
 Jing Zhang, Parsippany, NJ;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A DIV OF 08/866,007 05/30/1997 PAT 6,191,165  
 WHICH CLAIMS BENEFIT OF 60/070,900 02/27/1997

AND ~~THIS APPLICATION 09/757,011 01/09/2001~~

CLAIMS BENEFIT OF 60/041,503 05/31/1996  
 AND CLAIMS BENEFIT OF 60/044,387 02/27/1997  
 AND CLAIMS BENEFIT OF 60/041,504 05/31/1996

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 03/28/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NJ	SHEETS DRAWING 4	TOTAL CLAIMS 33	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>Brenda Coleman</i> Initials <i>BC</i>				

## ADDRESS

25561

## TITLE

Pharmaceutical for treatment of neurological and neuropsychiatric disorders

<b>FILING FEE RECEIVED</b> 944	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



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Patent Cooperation Treaty  
Legal Office

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Washington, D.C. 20231

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20 NOV 1997

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In re Application of  
TROPHIX PHARMACEUTICALS, INC.  
PCT No.: PCT/US97/09450  
International Filing Date: 29 MAY 1997  
Priority Date: 31 MAY 1996  
For: PHARMACEUTICAL FOR  
TREATMENT OF NEUROLOGICAL  
AND NEUROPSYCHIATRIC  
DISORDERS

DECISION ON  
PETITION  
UNDER  
37 CFR 1.181

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This is a decision in response to applicant's "LETTER TO U.S. PATENT AND TRADEMARK OFFICE" dated 19 November 1997 which is being treated as a petition under 37 CFR 1.181 to change the application number in item (4) of Box No. VI of the Request from 08/807,682 to 08/808,755.

The postcard receipt returned applicant for the filing on 27 February 1997 of the US application having a Docket # 317743-106A was incorrectly labeled as 08/807,682. The postcard receipt should have indicated the application number 08/808,755 instead of 08/807,682. This error resulted in the applicant indicating an incorrect application number for item (4) of Box No. VI in the Request.

Accordingly, it is appropriate to correct the application number indicated in item (4) of Box No. VI of the Request from 08/807,682 to 08/808,755.

Applicant's petition under 37 CFR 1.181 is GRANTED.

The application number in item (4) of Box No. VI of the Request has been corrected from 08/807,682 to 08/808,755.

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AB

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3-27-98



A copy of this decision will be forwarded to the International Bureau.

*Diana Biefeld*

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PCT Legal Office

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Facsimile: (703) 308-6459

*Peter Kratz*

Conferee: Peter Kratz  
Supervisory PCT Legal Examiner  
PCT Legal Office

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